IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: YAN et al.

Art Unit: 1642

Serial No. 09/770,689

Examiner: K. Canella

Filed: January 29, 2001

Atty. Docket: CL001079

For: ISOLATED HUMAN RAS-LIKE PROTEINS, NUCLEIC ACID MOLECULES ENCODING THESE HUMAN RAS-LIKE PROTEINS, AND USES THEREOF

Statement Regarding Duty Of Disclose Information Material To Patentability Under 37 CFR 1.56 (a) and (b)

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

RECEIVED

Sir:

This statement is to inform the United States of Patent and Trademark Office (Hall 290) Applicants and their representative have made a good faith effort in searching prior art relating to this invention.

No prior art material to patentability of the present invention has been found. The closest information associated with the invention is disclosed in Figures 1 and 2 in the top BLAST search results. However, this information, by itself or in combination with other information, does not constitute a prima facie case of unpatentability of any of the pending claims. Thus, it does not defeat the novelty of the present invention and the actual underlying references for the top BLAST hits are not being provided.

Respectfully submitted,

CELERA GENOMICS

Date: April 26, 2002

Celera Genomics Corporation 45 West Gude Drive, C2-4#20 Rockville, MD 20850

Tel: 240-453-3812 Fax: 240-453-3084

PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

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Utset the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/770.689 **Application Number TRANSMITTAL** 01/29/2001 Filing Date Chunhua YAN et al. **FORM** First Named Inventor 1642 **Group Art Unit** (to be used for all correspondence after initial filing) K. Canella **Examiner Name** CL001079 Attorney Docket Number Total Number of Pages in This Submission (check all that apply) **ENCLOSURES** After Allowance Communication Assignment Papers no fee due to Group (for an Application) Fee Transmittal Form 1 Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply 1 (Appeal Notice, Brief, Reply Brief) Petition Proprietary Information After Final Petition to Convert to a **Provisional Application** Status Letter Affidavits/declaration(s) Power of Attorney, Revocation Other Enclosure(s) (please Change of Correspondence Address identify below): Extension of Time Request Statement Regarding Duty of Disclosure Terminal Disclaimer **Express Abandonment Request** Request for Refund RECEIVED Information Disclosure Statement CD, Number of CD(s) -Certified Copy of Priority APR 2:9 2002 Remarks Document(s) Response to Missing Parts/ **TECH CENTER 1600/2900** Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Justin D. Karjala, Reg. No. 43,704 Individual name Signature 04/26/2002 Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

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o a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are required to respond to Complete if Known **TRANSMITTAL** 09/770,689 Application Number January 29, 2001 Filing Date for FY 2002 Chunhua YAN et al. First Named Inventor K. Canella **Examiner Name** Patent fees are subject to annual revision. 1642 Group Art Unit CL001079 0 (\$) INSTAL AMOUNT OF PAYMENT Attorney Docket No.

METHOD OF PAYMENT	T			FE	E CALCULATION (continued)	
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Deposit Account Celera Genomics	105	130	205	65	Surcharge - late filing fee or oath	
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Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27	139	130 2,520		130	Non-English specification For filing a request for ex parte reexamination	╢
2. Payment Enclosed:	ı	920		920*	Requesting publication of SIR prior to Examiner action]
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FEE CALCULATION	115	110	215	55	Extension for reply within first month	-{
1. BASIC FILING FEE	116	400	216	200	Extension for reply within second month	
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107 510 207 255 Plant filing fee	119	320	219	160	Notice of Appeal	-{
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114 160 214 80 Provisional filing fee	121	280	221	140	-	yuu/2
	138	1,510	138	1,510	Petition to institute a public use proceeding	$\dashv \mathbf{I}$
SUBTOTAL (1) (\$) 0	140	110	240	55	Petition to revive - unavoidable	┤ ┃
2. EXTRA CLAIM FEES	141	1,280	241	640	Petition to revive - unintentional	-
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Large Entity Small Entity Fee Fee Fee Fee Description	126	180	126	180	Submission of Information Disclosure Stmt	$\dashv I$
Fee Fee Fee Fee Description Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581	40	581	40	Recording each patent assignment per property (times number of properties)	_
102 84 202 42 Independent claims in excess of 3	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 "Reissue independent claims	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	4
over original patent	179	740	279	370	Request for Continued Examination (RCE)	
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**or number previously paid, if greater; For Reissues, see above	*Rec	duced l	by Bas	ic Filin	g Fee Paid SUBTOTAL (3) (\$) U	

Complete (if applicable) SUBMITTED BY Registration No. 240-453-3812 43,704 Telephone Justin D, Karjala Name (Print/Type) (Attorneyi Agent) 26-Apr-02 Date Signature

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